



07-15-04

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Patent Docket P1857R1P1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Heather Flores et al. Serial No.: 10/771,254 Filed: February 3, 2004 For: APO-2 LIGAND/TRAIL FORMULATIONS	Group Art Unit: 1645 Examiner: Not Yet Assigned Confirmation No: 2580 CUSTOMER NO: 09157 CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail service, No. EV351926233, and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 14, 2004 <i>Diane L. Marschang</i> Diane L. Marschang
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

[X] **37 CFR § 1.97(b)**

- within three months of the filing date of the application other than a continued prosecution application under 37 CFR § 1.53(d); **or**
- within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491, **or**
- before the mailing of the first Office action on the merits; **or**
- before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR § 1.114.

A list of the patent(s) or publication(s) is set forth on the attached revised Form PTO-1449 (Modified). A copy of the items on PTO-1449 is supplied herewith.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached PTO-1449 form are not supplied because this application was filed after June 30, 2003. Applicants are no longer required to submit copies of U.S. patents and U.S. patent application publications cited in information disclosure statements for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC §371 after June 30, 2003 (1276 OG 55). Nevertheless, applicants stand ready to provide copies at the request of the Examiner.

A concise explanation of relevance of the items listed on PTO-1449 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed item(s) [Required]

☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR § 1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR § 1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

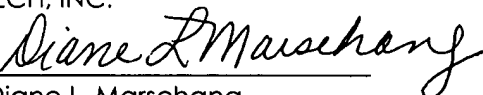
The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 for this Information Disclosure Statement, or credit overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: July 14, 2004

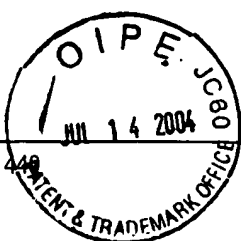
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FORM PTO-1449

U.S. Dept. of Commerce
Patent and Trademark OfficeAtty Docket No.
P1857R1P1Serial No.
10/771,254

LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant
Flores et al.Filing Date
03 Feb 2004Group
1645

U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	* 1	5,763,223	09.06.98	Wiley et al.			
	* 2	6,030,945	29.02.00	Ashkenazi, A.			
	* 3	6,284,236	04.09.01	Wiley, et al.			
	* 4	6,541,606	01.04.03	Margolin et al.			

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	5	417,563	20.03.91	EP			
	6	870,827	14.10.98	EP			
	7	US 00/15512	14.12.00	PCT (EQUIVALENT TO WO 00/75191)			
	8	US 00/17579	04.01.01	PCT (EQUIVALENT TO WO 01/00832)			
	9	US 01/23691	07.02.02	PCT (EQUIVALENT TO WO 02/09755)			
	10	US 99/01039	22.07.99	PCT (EQUIVALENT TO WO 99/36535)			
	11	US 99/06673	26.03.99	PCT (EQUIVALENT TO WO 99/48527)			
	12	WO 01/00832	04.01.01	PCT			
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	18	WO 98/35986	20.08.98	PCT			
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	23	WO 99/02653	21.01.99	PCT			
	24	WO 99/09165	25.02.99	PCT			
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LIST OF DISCLOSURES CITED BY APPLICANT (Use several sheets if necessary)				Applicant Flores et al.	
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